

# **Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Employment Plan**

January 1, 2022 - December 31, 2023

## **Cayuga County**

# Table of Contents

Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Employment Plan.....	1
1. Administration .....	1
1.1 Administrative Structure.....	1
1.2 TA and SNAP Employment & Training (SNAP E&T) Provider Agencies .....	1
1.3 OTDA Jobs Staff Agreement.....	3
1.4 Access to Services at New York State Career Centers .....	4
2. Orientation, Assessment and Employment Plan.....	4
2.1 Orientation (Reference 18 NYCRR 385.5) .....	4
2.2 Temporary Assistance (TA) Employment Assessment.....	5
2.3 TA Employment Planning (Reference 18 NYCRR 385.6 and 385.7) .....	6
3. Engagement.....	6
3.1 Federal “Engaged in Work” Requirement (Reference 18 NYCRR 385.2 (f)).....	6
3.2 Strategies/Procedures for Accommodating Individuals with Limited English Proficiency ...	7
3.3 Strategies/Procedures for Increasing Program Attendance.....	7
3.4 Strategies/Procedures for Engaging Sanctioned TA Participants .....	7
3.5 Strategies for Reducing the Need for TA.....	8
4. Work Activities .....	8
4.1 Allowable Work Activities .....	8
4.2 Job Development.....	11
4.3 Training Approval and Activity Enrollment Policies (Reference 18 NYCRR 385.9).....	11
4.4 Post-Secondary Education Approval and Enrollment Policies.....	13
5. Work Requirements .....	14
5.1 Meeting TA Work Requirements .....	14
5.2 Meeting SNAP Work Requirements .....	17
6. Quality Assurance/Work Verification .....	17
6.1 Quality Assurance Process - Random Case Sampling.....	17
6.2 Use of Outside Providers/Vendors .....	19
7. Supportive Services .....	19
7.1 TA and Non-TA SNAP Applicants and Recipients in Work Activities Approved by the District .....	19
7.2 Post-Employment/Transitional Supportive Services .....	21
7.3 Extended Support Services.....	21
8. Conciliation, Sanction and Dispute Resolution Procedures .....	22
8.1 Conciliation .....	22
8.2 Sanction.....	23

8.3 Dispute Resolution.....	23
9. Disability Determinations, Documentation and Requirements of Exempt Individuals.....	24
9.1 Disability Determination Process and Tools .....	24
9.2 Mental Health Screening and Assessment.....	26
9.3 Requirements for Exempt TA Participants (Reference 18 NYCRR 385.2 (e)) .....	26
10. District Certification .....	27
10.1 Certification.....	27
Amendments.....	28

# 1. Administration

## 1.1 Administrative Structure

- a. This agency's organizational chart is attached. It identifies the units and staff within the agency that are involved in the operation of the district's employment program.  
*(Attachments must be uploaded to the system through the "Documents" screen prior to submitting the plan. Use the textbox below to provide any additional information.)*

Current DSS Organizational Chart was uploaded.

- b. Below is a description of the office(s) in and/or outside of the Department of Social Services that are involved in the operation of the district's employment program and include the responsibilities of each office.

The Director of Temporary Assistance is primarily responsible for TA and SNAP including coordination of employment programs and activities. Together with the Employment Unit, he helps write and Implement the Employment Plan, coordinates WTW service delivery within the agency and with contracted partners in the community. The Employment Unit works closely with Intake and SN/TANF Units to make sure every individual is engaged and working towards self-sufficiency and utilizing any supportive services necessary. The Employment Unit is solely responsible for assigning and tracking work experience, conciliations, sanction referrals, dispute resolution, supportive services and tracking non-employable recipients. The Department of Social Services contracts with outside Agencies. Cayuga Works Career Center completes Job Search and Employment Plans, job search, job club, GED referrals and other programs and services as required. CHAD performs drug & alcohol evaluations. Catholic Charities assists appropriate individuals in their quest for SSI. Salvation Army provides individualized case management to those assigned to them. IMA provides medical/psych evaluations.

## 1.2 TA and SNAP Employment & Training (SNAP E&T) Provider Agencies

- a. Table 1 lists the local contracts or agreements with agencies to provide employment services to TA and SNAP clients. These activities and services may include, but are not limited to: employability determinations; development of assessment and employment plans; conciliation and grievance activities; provision of work activities such as job readiness training; education and job skills training; monitoring and support for compliance with treatment plans for exempt individuals with the potential for restoration to self-sufficiency; job development; job placement and retention services; and other employment related activities.

Each contract listed in Table 1 contains an assurance that the activities are not otherwise available from that provider on a non-reimbursable basis, and, if not a performance-based contract, a statement regarding use of a cost allocation methodology that satisfies Generally Accepted Accounting Principles, as well as the requirements of U.S. Office of Management and Budget Circulars A-122 for nonprofit organizations, A-21 for educational institutions, or A-87 for State and local governments. Districts must maintain proper monitoring and

oversight to ensure that contractors perform in accordance with the terms, conditions, and specifications of their contracts.

Provider	Total Contract Cost/Year	Funding Source(s)	Categories of Clients Served	Programs, Services or Activities Provided
Cayuga Works Career Center	\$134,000	<input checked="" type="checkbox"/> FFFS <input checked="" type="checkbox"/> SNAP E&T <input checked="" type="checkbox"/> Local <input type="checkbox"/> Other	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input type="checkbox"/> SNAP <input checked="" type="checkbox"/> TANF 200%	Assist with Employment Plans; Assessments; client-directed job search and job leads.
CHAD	\$12,000	<input checked="" type="checkbox"/> FFFS <input type="checkbox"/> SNAP E&T <input checked="" type="checkbox"/> Local <input type="checkbox"/> Other	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input type="checkbox"/> SNAP <input type="checkbox"/> TANF 200%	D/A Assessments, progress reports and monthly attendance sheets
IMA	\$1,600	<input checked="" type="checkbox"/> FFFS <input type="checkbox"/> SNAP E&T <input checked="" type="checkbox"/> Local <input type="checkbox"/> Other	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input type="checkbox"/> SNAP <input type="checkbox"/> TANF 200%	Employability Determinations
Salvation Army (Pathways of Hope)	\$54,000	<input checked="" type="checkbox"/> FFFS <input type="checkbox"/> SNAP E&T <input type="checkbox"/> Local <input type="checkbox"/> Other	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input type="checkbox"/> SNA Individual <input type="checkbox"/> SNAP <input type="checkbox"/> TANF 200%	Intensive Case Management services
Catholic Charities	\$36,156	<input checked="" type="checkbox"/> FFFS <input type="checkbox"/> SNAP E&T <input checked="" type="checkbox"/> Local <input type="checkbox"/> Other	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input type="checkbox"/> SNAP <input type="checkbox"/> TANF 200%	Case Management in pursuit of SSI

b. Table 2 includes agencies/providers that offer services to participants and to which the district expects to refer participants, but which have no direct financial agreement with the district.

Provider	Funding Source(s)	Categories of Clients Served	Programs, Services or Activities Provided
Cayuga Onondaga BOCES	Others: OTDA	<input type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input checked="" type="checkbox"/> SNAP <input type="checkbox"/> TANF 200%	Venture IV: job entry and retention, career planning, educational gains and other milestones to self-sufficiency.
Cayuga Onondaga BOCES	Others: OTDA	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input type="checkbox"/> SNA Individual <input type="checkbox"/> SNAP <input checked="" type="checkbox"/> TANF 200%	High School Equivalency. Educational Resource Program; Career plan with timeline for short and long term goals; intensive and individualized customer services in a one-on-one setting to enhance job seeking and retention skills and career laddering.
ARISE, Access VR, Unity Employment	Local	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input checked="" type="checkbox"/> SNAP <input checked="" type="checkbox"/> TANF 200%	Advocacy, training and/or and referral for work-limited. Advocacy, training and/or and referral for work-limited.

Provider	Funding Source(s)	Categories of Clients Served	Programs, Services or Activities Provided
Cayuga Works Career Center	Local	<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA Family <input checked="" type="checkbox"/> SNA Individual <input checked="" type="checkbox"/> SNAP <input checked="" type="checkbox"/> TANF 200%	One Stop community resource for job seekers and training opportunities. Department of Labor and Access VR is on site.

c. Monitoring and Oversight of TANF and SNAP E&T Funded Contracts/Agreements

Described below is the process used to monitor district held contracts/agreements with providers that use TANF and SNAP E&T funds for employment services:

All referrals are tracked and followed up by the Senior Employment Examiners for appropriateness and the required services provided per the contract. Notes and reports are also reviewed by the Senior Examiners for those contracts requiring that type of monitoring by DSS. The agency meets at different time frames for each contractor; monthly, bimonthly and quarterly. We also meet as needed when situations arise.

### 1.3 OTDA Jobs Staff Agreement

a. OTDA Jobs Program Services - Target Groups. Check all services and target groups that apply:

Services:

- Assessment/Employment Plan
- Supervised Job Search
- Job Readiness Training
- Job Club
- Job Placement Services
- Grant Diversion
- Job Development (employer outreach)
- WOTC pre-certifications

Target Groups:

- Applicants
- FA & SNA with children
- SNA without children
- SNAP
- TANF 200%

b. Described below are the additional services/duties Jobs staff will be requested to perform (e.g. WTWCMS data entry, case conferencing, job fairs).

## 1.4 Access to Services at New York State Career Centers

- a. Described below is how the district provides access to its programs and services with Career Center partners (select all that apply):
- The district has employee(s) physically present at a Career Center
  - The district has contract staff physically present at a Career Center
  - The district makes available direct access to its program staff via phone or technology at a Career Center
  - The district makes available copies of the LDSS-2921 (Common Application) at a Career Center
  - Other:
- b. Described below is how the district coordinates with Career Center partners to provide services to the district's clients, including referral and information sharing mechanisms, or other collaboration such as participation on the local WIOA Business Services Team, etc.

The District and Career Center shares data to facilitate the timely completion of Employment Plans, Assessments, Job Readiness and Job Searching activities. There is continuous contact with phone and emails between the District and Career Center employees to refer/discuss/collaborate in regards to clients progress towards self-sufficiency.

## 2. Orientation, Assessment and Employment Plan

### 2.1 Orientation (Reference 18 NYCRR 385.5)

- a. Check one of the following:
- The district provides orientation in accordance with 18 NYCRR 385.5 and no additional information is provided at orientation.
  - In addition to the requirements outlined in 18 NYCRR 385.5 of the regulations, the district's orientation provides the following:
- b. Described below is how the district completes the required orientation for all applicants and recipients of TA (e.g. in a group setting, individually, or a combination of both). Please include the orientation procedure for exempt individuals and non-exempt individuals, if different:

Orientation procedure for non-exempt and exempt individuals is the same. Orientation is discussed at Eligibility interview and an Orientation presentation packet is provided to the client at the interview. The packet includes Orientation slides and Workforce Questionnaire to be completed and returned by the applicant.

## 2.2 Temporary Assistance (TA) Employment Assessment

- a. The district conducts assessments as required by 18 NYCRR 385.6(a) and 385.7(a). Check one of the following:
- The district enters assessments directly into WTCMS
  - The district uses the LDSS 4980 (New York State Assessment) and later enters information into WTCMS.
  - The district conducts assessments using a local equivalent tool, and later enters information into WTCMS. Please attach the local equivalent tool. If the local equivalent contains additional elements beyond what is required, list them below:

- b. Described below is the district procedure for the completion of an employment assessment:

An assessment is completed through a contractual arrangement with Cayuga Works Career Center, with input from district workers as part of a comprehensive strategy to help the individuals obtain meaningful employment or otherwise become economically secure. This agreement ensures that all adults in households with dependent children and 16 - 17 year old individuals not in school receive an assessment within 90 days of eligibility and within one year of the date of application for all adult households without dependent children. Employment and Training and District staff provides in-person and over the phone assessments which are conducted and completed individually with the client.

- c. Which district administrative unit or contractor is responsible for conducting assessments?

Cayuga Works Career Center, in conjunction with district staff.

- d. Described below are the minimum qualifications of the employees conducting the assessment (refer to requirements listed in 18 NYCRR 385.6(c) and 385.7(c)):

The staff administering testing instruments meets the minimum qualifications as established by the standardized testing mechanism. Staff is supervised by individuals who have many years of experience in determining the needs of participants.

- e. Are applicants in households with dependent children required to participate in completion of an employment assessment?

- Yes
- No

- f. Are applicants in households without dependent children required to participate in completion of an employment assessment?

- Yes
- No

- g. Are exempt adults in households without dependent children required to participate in completion of an employment assessment?

- Yes
- No



h. How often and under what circumstances is the employment assessment updated?

Assessments are required to be updated whenever employability changes. However, assessments are continuously updated when client has any contact with district and/or contracted agent, as client's needs/ situations are continually changing. Employment Assessments are reassessed at least yearly.

## 2.3 TA Employment Planning (Reference 18 NYCRR 385.6 and 385.7)

a. The district develops individual employment plans as required by 18 NYCRR 385.6(a) and 385.7(a). Check one of the following:

- The district enters employment plans directly into WTCMS.
- The district uses the LDSS-4978 (New York State Employment Plan) and later enters information into WTCMS.
- The district develops individual employment plans using a local equivalent tool and later enters information into WTCMS. Attached is the local equivalent tool. If the local equivalent contains additional elements beyond what is required, list them below:

b. Check one of the following:

- The same administrative unit or contractor that conducts employment assessments also develops employment plans.
- A different district administrative unit or contractor develops employment plans, and their qualifications include:

c. Described below is the district procedure for the completion of an individual's employment plan:

For employable individuals- Employment Plans are completed with our Cayuga Works Career Center within 90 days of case opening. For non-employable individuals- they are completed once case is opened by Cayuga Works Career Center. All Employment Plans are directly entered in WTCMS.

d. How often and under what circumstances is the employment plan updated?

Employment Plans are required to be updated at least yearly, or whenever employability changes. However, plans can be continuously updated when client has any contact with district and/or contracted agent, as client's needs/ situations are continually changing.

## 3. Engagement

### 3.1 Federal "Engaged in Work" Requirement (Reference 18 NYCRR 385.2 (f))

a. Federal requirements state that parents or caretakers must be engaged in work as soon as the district determines they are ready, but no later than within 24 months of receiving federally funded assistance. The district's definition of "Engaged in Work" is:

Compliance with assessment, employment planning, all activities included in the individual's Employment/Self-Sufficiency plan, including any need to attend

treatment/rehabilitation programs, or any of the work activities listed in Section 4.1. Also included is pursuit of other forms of income such as SSI and SSDI.

- b. Described below is additional information regarding the district's "Engaged in Work" requirements:

\*District assesses household for appropriate daycare in applicant status and once appropriate daycare is available and the case is active, client is able to be assigned appropriately.

\*Actively engaged in rehabilitative activities as prescribed by medical, psychiatric, or OASAS professional.

\*Involved in appropriate vocational activities as deemed appropriate in the development of an individual's employment plan.

\*Assist in obtaining working papers when appropriate

\*Satisfactory attendance at secondary, vocational or technical school and/or the active pursuit of a course of study leading to a high school diploma or HSE in conjunction with other work activities.

\*The active pursuit, as may be defined in an individual's employment plan, of alternative paths to self-sufficiency such as but not limited to: SSI, RSDI, Worker's Compensation, No-Fault benefits or disability payment of any kind.

### **3.2 Strategies/Procedures for Accommodating Individuals with Limited English Proficiency**

- a. Described below is how the district accommodates non-English speaking participants' access to employment activities and services:

Empire Interpreting Service is used whenever there is a language barrier. Clients are seen 1:1 or over the phone with a worker and the interpreter. Translations of forms and letters are available as needed or requested.

### **3.3 Strategies/Procedures for Increasing Program Attendance**

- a. Described below are the district policies and/or procedures used to reduce the number of times participants fail to participate in work activities. This includes absences with good cause:

Basic strategies include, but are not limited to:

- immediate problem-solving, when a participant appears in danger of missing time
- working with contracted partners to reduce barriers and assist with creative and unique strategies to motivate and empower participants
- case management that includes participant input.

### **3.4 Strategies/Procedures for Engaging Sanctioned TA Participants**

- a. Select all that apply:

- Described below are the strategies the district uses to attempt to engage sanctioned participants as soon as they are sanctioned:

- Described below are the strategies the district uses to attempt to engage sanctioned participants when the durational period of the sanction is completed:

If participant can comply, Cayuga County sends them a letter to contact us and informs them of the steps in order to get in compliance.

- Described below are the strategies the district uses to attempt to engage sanctioned participants during different times in the sanction period:

### 3.5 Strategies for Reducing the Need for TA

- a. Described below are the district’s strategies for reducing the need for TA:

District employs a number of diversion strategies inclusive of full review of available supportive services, such as childcare in lieu of TA. Our Intake Unit assesses applicants for meeting immediate needs rather than needing ongoing TA. We offer employment related needs to assist in starting or keeping a job, including but not limited to transportation assistance, clothing, and items of personal care needs. Some FFFS funds have been dedicated to this as well.

## 4. Work Activities

### 4.1 Allowable Work Activities

- a. Please select all the activities available to individuals receiving Family Assistance (FA), Safety Net Assistance for households with dependent children (SNA Fam), Safety Net Assistance for households without dependent children (SNA Ind), and Supplemental Nutrition Assistance Program (SNAP) benefits. In the chart below, the case type is listed next to each activity available to it in the district.

Case Type	Activity and Definition
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<b>Unsubsidized Employment</b> – Full time or part time employment in the public or private sector that is not subsidized by TANF or any other public program (excluding employer tax credits). Unsubsidized employment includes self-employment and/or paid internships.
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<b>Work Experience</b> – Unpaid work performed at a public or not-for-profit organization to enable a participant who has not obtained unsubsidized employment to improve his or her employability. Work experience provides participants with an opportunity to acquire training, knowledge, work habits, and work references necessary to obtain and retain employment. Participation in work experience includes training required for the participant to complete the work experience assignment. For example, an individual who is expected to provide clerical support in a government agency may be provided training to develop or refine filing and data entry skills as needed to perform the tasks required as part of the work activity assignment.
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<b>Job Search</b> – The act of seeking or obtaining employment or preparing to seek or obtain employment and will include: looking for suitable job openings in a group or individual setting; making contact with potential employers; learning appropriate workplace expectations and behaviors in preparation for submitting job applications and interviewing; preparing and applying for, and/or interviewing for jobs and related activities.

Case Type	Activity and Definition
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Vocational Education</b> – Vocational education is defined as an organized educational program that directly relates to the preparation of individuals for current or emerging occupations that require training up to a four-year degree. Vocational education does not generally include basic or remedial education or English as a Second Language (ESL) but may include work focused general education and language instruction that is a regular or integral part of a vocational education program. Social services districts are responsible for ensuring that any such remedial education or ESL is a regular part of the program for participants with similar skill sets as the TANF/SNA MOE client, is determined necessary by the program provider, and is limited in hours to less than one half of program participation. Vocational education programs include the completion of activities that provide individuals the knowledge and skills to perform a specific trade, occupation or vocation. Vocational education must be provided by an education or training organization.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Secondary School</b> – Regular attendance in accordance with the requirements of the secondary school or a course of study at a secondary school or other State accredited institution leading to a high school equivalency (HSE) diploma, in the case of a recipient who has not completed secondary school or received a certificate of general equivalency. Secondary school participation may include general adult basic education or ESL if it is linked to attending secondary school or leading to a HSE diploma as determined necessary by the educational institution. Secondary School or HSE programs that routinely include ESL, career training, alternative school, tutoring, dropout prevention, teen pregnancy or parenting programs as a requirement of program participation as determined by the educational institution will also be permitted.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Job Skills Training</b> – Training or education in job skills to improve a participant’s employability, to ensure clients have the basic skills competencies required by employers to support job entry and/or to advance or adapt to the changing demands of the workplace. Where identified as needed, such training may include the development of basic workplace skills including professional workplace behaviors and decision-making skills. Job skills training may include customized or technical training designed to provide participants with additional workplace skills, post-secondary education courses leading to a bachelor’s or other advanced degree, or other training included under the definition of vocational education training. Job skills training may include literacy instruction, English language instruction, or other basic education for an individual who has already obtained a high school diploma or equivalency when determined from a client’s assessment that such instruction is needed to improve the participant’s employability.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Education Training</b> – Education directly related to employment for a recipient who has not received a high school diploma or equivalency must be related to a specific occupation, job or job offer or otherwise determined based on a client assessment as necessary to improve the participant’s employability to support job entry, retention or advancement. Education directly related to employment may include courses designed to provide the knowledge and skills for general or specific occupations or work settings to ensure clients have the basic skills competencies required by employers and may also include adult basic education, ESL instruction and education leading to a high school equivalency diploma as determined as necessary to improve the participant’s job opportunities in potential occupations. Where identified as needed such training may include the development of basic workplace skills including professional workplace behaviors and decision-making skills.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Job Readiness Training (JRT) Activities</b> – Participation in programs that include seeking and preparing for work. JRT includes two types of activities: (1) traditional activities of resume preparation, training in interviewing skills, and instruction in workplace expectations, training in effective job seeking, including life skills training; and (2) activities that improve an individual’s employability, such as substance abuse treatment, mental health treatment, or rehabilitation activities in which a qualified medical or mental health professional has certified that such treatment is necessary.</p>

Case Type	Activity and Definition
<input type="checkbox"/> FA <input type="checkbox"/> SNA FAM <input type="checkbox"/> SNA Ind <input type="checkbox"/> SNAP	<p><b>Subsidized Private Sector Employment</b> – Employment in the private sector for which the employer receives a subsidy from TANF or other public funds (excluding tax credits) to offset some or all of the wages and costs of employing and training a recipient in accordance with New York State Social Services Law 336-f. Subsidized private sector employment will include positions subsidized through grant diversion/Transitional Employment Advancement Program (TEAP), supported employment programs, and paid college work study programs at private institutions. Individuals participating in subsidized private sector employment are paid wages and receive the same benefits as unsubsidized employees who perform similar work. An employment situation will be subsidized for up to the full amount of wages/benefits provided to the program participant and will be subsidized for the length of time as determined appropriate by the State or social services district.</p>
<input type="checkbox"/> FA <input type="checkbox"/> SNA FAM <input type="checkbox"/> SNA Ind <input type="checkbox"/> SNAP	<p><b>Subsidized Public Sector Employment</b> – Employment in the public sector for which the employer receives a subsidy from TANF or other public funds (excluding tax credits) to offset some or all of the wages and costs of employing and training a recipient in accordance with New York State Social Services Law 336-e. Subsidized public sector employment will include positions subsidized through grant diversion/TEAP, supported employment programs, and paid college work study programs at public institutions. Individuals participating in subsidized public sector employment, and work study unless otherwise permitted under a federal work study program, are paid wages and receive the same benefits as unsubsidized employees who perform similar work. An employment situation will be subsidized for up to the full amount of wages/benefits provided to the program participant and will be subsidized for the length of time as determined appropriate by the State or social services district.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Community Service</b> – A structured program in which participants perform work for the direct benefit of the community under the auspices of public or nonprofit organizations. Community service placements must be projects that serve a useful community purpose in fields such as health, social services, environmental protection, education, urban and rural redevelopment, welfare, public recreation, public facilities, public safety, and childcare. Community service programs are designed to improve the employability of participants not otherwise able to obtain unsubsidized employment. Participation in community service may include training that is directly required for the participant to complete the community service assignment. For example, an individual who is expected to provide clerical support to a food pantry may be provided training to develop or refine filing and data entry skills.</p>
<input type="checkbox"/> FA <input type="checkbox"/> SNA FAM <input type="checkbox"/> SNA Ind <input type="checkbox"/> SNAP	<p><b>Provision of Childcare for Individual Participating in Community Service</b> – Providing unpaid childcare to enable another TA (TANF/SNA MOE funded) recipient to participate in a community service program.</p>
<input type="checkbox"/> FA <input type="checkbox"/> SNA FAM <input type="checkbox"/> SNA Ind <input type="checkbox"/> SNAP	<p><b>SNAP E&amp;T Supervised Job Search</b> – The act of seeking or obtaining employment through a job search that is directly supervised and may include: case management services, career exploration, interview preparation, job application assistance, learning appropriate workplace expectations and behaviors in preparation for submitting job applications and interviewing, job leads, and direct job referrals.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>On-the-Job-Training (OJT)</b> – Training in a public or private sector employment setting during which the participant receives work-essential paid training while he or she is engaged in productive work that provides the knowledge and skills essential to attain full and adequate performance of the job.</p>
<input checked="" type="checkbox"/> FA <input checked="" type="checkbox"/> SNA FAM <input checked="" type="checkbox"/> SNA Ind <input checked="" type="checkbox"/> SNAP	<p><b>Other</b> – Any work activity that does not meet the criteria of any of the above countable activities constitutes participation that is not countable toward federal and State participation rates.</p>

## 4.2 Job Development

- a. Does the district conduct or access job development services to expand job opportunities for TA and SNAP participants?
- Yes  
 No

If Yes, select how the district participates in job development activities.

District staff contacts employers to solicit jobs for TA and SNAP Participants. Describe below how this is done, including number of staff, frequency of contacts, etc.

District contracts or has an agreement with another agency to contact employers and solicit jobs for TA and/or SNAP participants. Describe below how this is done, including number of staff, frequency of contacts, etc.

## 4.3 Training Approval and Activity Enrollment Policies (Reference 18 NYCRR 385.9)

- a. Described below is how the district identifies appropriate education program providers for services of Adult Basic Education, High School Equivalency (HSE) diploma preparation, and English Language Instruction that are available to clients whose assessment indicates such services would be an appropriate work activity assignment:
- a. Department of Labor and/or State Education Department approval
  - b. Familiarity with and comprehension of the basic goals of Welfare Reform
  - c. If applicable, past performance in moving non-exempts to self-sufficiency
  - d. Opportunities or services available
  - e. Client interest
  - f. Active case management
- b. Described below is how the district identifies appropriate program providers of Vocational Education and Job Skills Training programs that are available to clients whose assessment indicates such services would be an appropriate work activity assignment:
- a. Department of Labor and/or State Education Department approval
  - b. Familiarity with and comprehension of the basic goals of Welfare Reform
  - c. If applicable, past performance in moving non-exempts to self-sufficiency
  - d. Opportunities or services available
  - e. Client interest
  - f. Active case management
- c. Described below are the process and guidelines workers follow to ensure that individuals who have not attained a basic literacy level and/or have not attained a high school diploma are offered the opportunity to participate in an educational activity:

When a client is assessed and found to be in need of basic literacy/HSE, they are made aware of HSE testing/classes at Cayuga-Onondaga Center for Learning/BOCES. BOCES is the work experience site for those that are in need of HSE.

- d. Described below are the district's process and policy, including the guidelines workers follow, when determining whether participation in educational activities is approved for individuals who have not attained a high school diploma who are interested in participating in an educational activity. Include in this section instances when the district would deny participation in educational activities:

Each situation is looked at on a case by case scenario. If the educational activity will assist the client in gaining skills to reach self sufficiency, it would be approved. However, if the person's physical/mental and/or criminal history/etc. would be a barrier for such training, it may be denied by the Employment Supervisor.

- e. Described below is the district's process and policy for determining whether a participant is approved/assigned to participate in job skills or vocational education activities:

District evaluates client interest, availability of local employment in that field, individual assessment and client participation in goal development. Also taken into consideration is participation rate goals. Employment in the field of study must reasonably be expected not to exceed any medically verified physical and/or mental limitations of the student with reasonable accommodations. The program must be able to be completed in a twelve month period.

- f. Described below are the standards by which education and training providers are evaluated:

NYS Education Department standards.

- g. Described below is the district's procedure for advising participants of approved training:

Face-to-face or telephone assessment and case management meetings.

- h. Described below is the district's procedure for notifying participants they are approved for training or enrollment in a work activity:

Usually by mail, but if circumstances require; it may be face-to-face or by telephone. It should be noted here that individuals notified verbally are also provided with written documentation.

- i. Described below is how the district will monitor the high school attendance for 16-18 year-olds in order for them to retain their TA exempt status:

16 and 17 years of age who are attending High School are exempt. If the parent or child claims the child is attending a full time secondary school, vocational, or technical school, documentation should be requested at application and recertification.

- j. Described below is the district's procedure for ensuring that an individual's health related limitations are accommodated when assigning the individual to a work activity:

The individual provides medical and/or psychiatric statements to the district. Upon district's knowledge of a health-related limitation; those are factored in to any assignment. The work activity provider is notified of any need for accommodation or of any limitation by the district in writing without disclosing the diagnosis. The participant may choose to disclose further information directly to the work activity provider.

#### **4.4 Post-Secondary Education Approval and Enrollment Policies**

- a. Described below is the highest level of post-secondary level education that the district will approve as a work activity, up to a four-year college program:

If the client can complete the current education within a twelve month period, it may be approved, including up to a four year degree; as long as it is for a specific/attainable job in the area. Employment Supervisor makes final decision on these and all other cases that fall into this category. They are all looked at on a case by case situation.

- b. Described below is how the district will ensure that enrollments in post-secondary education beyond the 12-month lifetime limit are combined with a weekly average of at least 20 hours in paid employment activities which may include work study, work experience or community service:

Time limits are tracked and once client reaches 12 month lifetime limit, worker has a conversation with them explaining the limits have been met and that they must now be engaged in other activities, for at least 20 hours/week.

- c. In accordance with 18 NYCRR 385.9(b), regardless of whether the college program is approved for the participant as an employment work activity, the district will approve as a work activity a work-study, internship, externship or other work placement that is part of a non-graduate student's curriculum unless one or more of the following conditions applies as checked below (Select all that apply):
  - It has been determined that the student voluntarily quit their job or reduced earnings to qualify for initial or increased TA.
  - A job or on-the-job training position that is comparable to the work-study, internship, externship or other work placement cannot reasonably be expected to exist in the private, public or not-for-profit sector.
  - The student is not maintaining a cumulative C average (or the equivalent). The district may disregard this provision if the student documents an undue hardship.
  - The institution or student fails to monitor and report information regarding the student's attendance and performance as required.
  - The student fails to progress toward the completion of a course of study without good cause, as determined by the district.
  - The student has previously enrolled in work-study, internship, or other work placement and failed to complete the work placement without good cause as determined by the district.
  - Additional reasons as stated below:



## 5. Work Requirements

### 5.1 Meeting TA Work Requirements

- a. Described below is how the district plans to meet federal and State TA participation rate requirements. Include in this description the weekly hours standard participation requirements for individuals in the different case and household types, along with the typical time period it takes for nonexempt individuals to be engaged in activities for both newly opened cases and individuals whose status changed from exempt to nonexempt. (Information regarding engaging exempt individuals is entered in Section 9).

Applicants are engaged in weekly job search/ job readiness and this activity continues in recipient status. Recipients are assessed and enrolled into job readiness, work experience or appropriate activities. Vocational assessment is completed through one-on-one case management within a four-week period from case opening, unless the Agency deems that a documented good cause exists.

Weekly participation requirements for TANF and SN are determined by their total TA grant plus SNAP benefit divided by the current state minimum wage and then divided by 4.333 to get the total weekly hours required for participation. Once case is opened, clients are assigned up to 40 hours per week.

District is continuously monitoring medical documentation, for an individual that may become employable. As soon as agency is aware, client is enrolled in an appropriate work activity.

- b. Estimate the number of individuals expected to receive employment services for:

Household Type	Number Served
Households with Dependent Children Average Monthly	126
Households without Dependent Children Average Monthly	77

- c. Described below is how the district uses work participation management reports available through COGNOS or other reports and activities to monitor district progress toward meeting work participation requirements and ensuring full engagement by adults in work or work preparation activities:

District staff closely monitors COGNOS/ WTCMS and locally produced reports, in order to monitor district progress toward meeting participation rates, making sure all hours are entered and ensuring full engagement.

- d. Does the district assign TA applicants to Job Search? If yes, describe the district procedure for Job Search, including the required number of job search contacts and hours per week assigned. Use the "Additional Information" column in the chart below to describe how often individuals are generally required to report job search outcomes and if activities other than job search are routinely expected:

The district assigns TA applicants to Job Search.

- Yes  
 No

<b>Applicant Job Search</b>	<b>Min. Contacts</b>	<b>Min. Hours</b>	<b>Additional Information</b>
TANF and SNA MOE	5	15	Job Search is reported weekly in Job Club. Job readiness activities begin to help applicants in seeking employment. Job readiness activities include resume writing, interview preparation, active listening, beginning your job search, communication skills, conflict resolution in the work place, creating a basic employment portfolio, creating a budget that works for you, creating your own re-employment plan, discovering your interest, evaluating your financial wellness, tips on completing job applications, job search during a pandemic, job searching for the ex-offender, networking, problem solving and decision making, setting goals, teamwork, time management in the workplace, understanding your paycheck and benefits, using social media and soft skills vs hard skills.
SNA Individuals	5	15	Job Search is reported weekly in Job Club. Job readiness activities begin to help applicants in seeking employment. Job readiness activities include resume writing, interview preparation, active listening, beginning your job search, communication skills, conflict resolution in the work place, creating a basic employment portfolio, creating a budget that works for you, creating your own re-employment plan, discovering your interest, evaluating your financial wellness, tips on completing job applications, job search during a pandemic, job searching for the ex-offender, networking, problem solving and decision making, setting goals, teamwork, time management in the workplace, understanding your paycheck and benefits, using social media and soft skills vs hard skills.

- e. Does the district assign TA recipients to Job Search? If yes, describe the district procedure for Job Search, including the required number of job search contacts and hours per week assigned. Also include a description of how often individuals are generally required to report job search outcomes and if activities other than job search are routinely expected:

The district assigns TA recipients to Job Search.

- Yes  
 No

Recipient Job Search	Min. Contacts	Min. Hours	Additional Information
TANF and SNA MOE	5	15	<p>5 employer contacts are required per week at minimum for Job Search.</p> <p>The hours assigned a week will vary based on the nature of the job being sought, method used to apply, and assessed need for employer contacts.</p> <p>Job readiness activities continue as a recipient. Job readiness activities include resume writing, interview preparation, active listening, beginning your job search, communication skills, conflict resolution in the work place, creating a basic employment portfolio, creating a budget that works for you, creating your own re-employment plan, discovering your interest, evaluating your financial wellness, tips on completing job applications, job search during a pandemic, job searching for the ex-offender, networking, problem solving and decision making, setting goals, teamwork, time management in the workplace, understanding your paycheck and benefits, using social media and soft skills vs hard skills.</p>
SNA Individuals	5	15	<p>5 employer contacts are required per week at minimum for Job Search.</p> <p>The hours assigned a week will vary based on the nature of the job being sought, method used to apply, and assessed need for employer contacts.</p> <p>Job readiness activities continue as a recipient. Job readiness activities include resume writing, interview preparation, active listening, beginning your job search, communication skills, conflict resolution in the work place, creating a basic employment portfolio, creating a budget that works for you, creating your own re-employment plan, discovering your interest, evaluating your financial wellness, tips on completing job applications, job search during a pandemic, job searching for the ex-offender, networking, problem solving and decision making, setting goals, teamwork, time management in the workplace, understanding your paycheck and benefits, using social media and soft skills vs hard skills.</p>

- f. Described below is the district's process and policy used for determining whether participation in self-employment is approved as part of an individual's required work activities, including the guidelines workers follow. If the district always approves self-employment as part of an individual's required work activities, please note this policy below.

Self-employment is looked at on a case by case scenario. Skill set and education are taken into account when determining if the self-employment is appropriate. If it is deemed appropriate, the case is monitored regularly to see if the client is progressing to self sufficiency through the self-employment. If it is not deemed appropriate; then the agency works with the client to increase/improve to an adequate level; or is given notice that it is not appropriate and therefore they would be engaged in other activities to ultimately help them reach self-sufficiency for themselves and/or family.

## 5.2 Meeting SNAP Work Requirements

- a. Described below is the extent to which the district requires NTA SNAP recipients to participate in SNAP E&T work activities. (Please note: Case management services must be provided to all participants enrolled in SNAP E&T activity):

N/A

- b. If the district is offering Supervised Job Search as an E&T activity component, describe below how the job search activity will be supervised and tracked, including the frequency of monitoring the participant's job search efforts.

N/A

- c. If the district is not mandating SNAP E&T work activity assignments, please describe below how NTA SNAP work registrants are informed of the services available, upon request, for assistance with job search activities. (Please note: At a minimum, districts are required to offer job search assistance to NTA SNAP applicants and recipients):

Upon request, at application and recertification: booklets 1,2,3 are made available and individuals are verbally offered job search assistance and provided at application and recertification's information about the Cayuga Works Career Center.

## 6. Quality Assurance/Work Verification

### 6.1 Quality Assurance Process - Random Case Sampling

Consistent with New York State's approved Work Verification Plan (WVP), and in accordance with the requirements established by the United States Department of Health and Human Services, districts must develop a quality assurance plan to ensure that the data reported, from which their work participation rates are derived, are accurate. The plan must include the district's procedure for monitoring reported scheduled and actual attendance in paid employment and unpaid work activities and the controls in place to ensure that reported exemption statuses resulting in federal exclusions from the work participation rate calculation are accurately made, work eligible individuals are correctly identified, hours of attendance reported are accurate and documented, data entry is accurate and that the district and its

providers adhere to the approved work activity definitions and the determination of countable excused absences and holiday reporting within federal limits. Each district must maintain the documentation to verify what is being reported to NYS OTDA.

Each district must describe how it will conduct periodic self audits to determine that system entries are consistent with documentation in case files. The district must also explain how it will choose the sample size, select sample cases and establish the review period (no less frequently than semi-annually). The plan must indicate the district will maintain documentation on all pertinent findings produced through its self audit process and that case records for all reviewed cases will be available for State and other auditors in their review of the local work verification system for the standard 6 year period associated with such reviews.

The district will sample cases from each month within the (6 month) semi-annual period. The October to March review will be due by May 20th. The April to September review will be due by November 20th. The results of these audits will enable the district to identify policies, processes or cases that may need corrective action.

After each self audit is completed, the district must submit a summary of findings to OTDA A&QI at [AQI.WV.SelfAudits@otda.ny.gov](mailto:AQI.WV.SelfAudits@otda.ny.gov) for State review including specific information on each of the errors identified. In addition, when monitoring reveals substantial problems, the district must describe the corrective action it will take.

The Quality Assurance (QA) plan must include the following elements:

- Ensure that documentation of wages and actual hours of employment is verified and accurately projected/reported and present in the case file, is actual and is projected correctly;
  - Ensure that the documentation for actual hours, supervision/attendance, excused absences, and holidays in other activities is present in the case file;
  - Assess whether participation in the work activities reported for work eligible individuals meets the approved federal definition for the activity;
  - Assess that the data entered into either WTWCMS, NYCWAY or other automated systems used for reporting work activities is accurate, including actual hours, excused absences and holidays; and is based on documentation in the case record; and
  - Ensure that documentation necessary to determine an individual to be exempt due to being the parent caretaker of a disabled household member (Employability Code 38 or 48), and/or parent or caretaker relative of a child in the household under 12 months of age, (Employability code 31), is present in the case file and that individuals meet the exempt status based on the required documentation.
- a. Below is the number of random sample cases of participation in paid work activities the district will review semi-annually. Refer to the Instruction Guide for the minimum number of cases per district and guidance regarding review requirements.  
6 cases
- b. Below is the number of random sample cases of participation in unpaid work activities the district will review semi-annually. Refer to the Instruction Guide for the minimum number of cases per district and guidance regarding review requirements.  
6 cases

- c. Below is the number of random sample cases in which a case member is reported as an TA employability code 38 – “Parent needed in the home full time to care for an incapacitated/disabled household member” or TA employability code 48 – “Needed in the home to care for an incapacitated child full time – time limit exemption”. Refer to the Instruction Guide for the minimum number of cases per district and guidance regarding review requirements.  
3 cases
- d. Below is the number of random sample cases in which a case member is reported as an employability code 31 – “Parent or caretaker relative of a child under 12 months of age”. Refer to the Instruction Guide for the minimum number of cases per district and guidance regarding review requirements.  
3 cases

The district will review district worker or approved provider/vendor collected documentation and data entry of the above listed elements. The district will assess and verify that participation in the reported work activities listed above meet the State approved definition for the activity.

## 6.2 Use of Outside Providers/Vendors

- a. Does the district utilize outside providers/vendors to collect documentation and enter data directly into WTCMS?  
 Yes  
 No
- b. If Yes, does the district’s provider/vendor documentation collection, data entry and management of WTCMS follow the same process that would be used by the district worker?  
 Yes  
 No
- c. If No, describe below the process used:

## 7. Supportive Services

### 7.1 TA and Non-TA SNAP Applicants and Recipients in Work Activities Approved by the District

- a. The district must provide childcare in accordance with the childcare section of the district’s Child and Family County Services Plan. The district will also provide the following expenses, which the district deems necessary for the individual to participate in orientation, assessment, employment planning, approved work activities and activities to restore self-sufficiency:

Clothing, including but not limited to uniforms and footwear, may be provided up to a maximum of \$150.00 for each entry into employment as well as when such purchase is necessary for the individual to participate in an assigned, appropriate work activity. In addition, we provide personal hygiene items, as necessary. Licenses or other work-related

fees may be provided. Funding for approved training programs may also be provided. Work-related expenses will not be granted if activity does not clearly demonstrate a connection in maintaining, improving or moving towards self-sufficiency.

Case Management: When appropriate, and to the extent local resources permit, case management is provided by district and/or through a contractual partnership with an outside agency.

Other supportive services may be provided by the local district, if the local district deems them necessary in order to:

\* Allow an individual to participate in work activities.

Assist an individual at risk of needing assistance to maintain their employment.

Assist an employed individual to improve their opportunities for employment that will move them to self-sufficiency.

Payment for childcare is provided to appropriate individuals who need such care to participate in assigned work activities, including orientation.

- b. Indicated below are the services the district will use to assist those participants who need transportation to and from an approved work activity site, including any applicable mileage reimbursement rate, and the method used by the district to arrive at that reimbursement rate. OTDA policy establishes a mileage reimbursement rate of no less than the IRS established rate for medical/moving purposes. In all instances, should the actual cost of transportation needed to participate in an assigned work activity exceed the reimbursement rate determined by the district, the district will reimburse for the actual costs based on reasonable documentation submitted by the work activity participant. (Select all that apply)
- Bus pass/token
  - Gas card/voucher
  - Mileage reimbursement at IRS Business rate (effective 1/1/21 is 56 cents/mi)
  - Mileage reimbursement at IRS Medical/Moving rate (effective 1/1/21 is 16 cents/mi)
  - Other mileage rate (please explain methodology used to establish reimbursement rate):
- c. OTDA policy establishes a distance not to exceed two miles as the maximum distance that the district can require a participant to walk to a work activity assignment or to access public transportation. Describe below the distance an individual may be required to walk, each way, to a work activity or to access public transportation:

A participant, with no documented medical limitations on walking, may be required to walk up to one and a half miles maximum one way.

- d. Described below are the services the district will provide to assist individuals at risk of needing TA to improve their opportunities for employment or to maintain their employment:

Non-recurring payments for employment related expenditures such as but not limited to uniform allowances, tools, and license fees.

Non-recurring payments for employment-related transportation expenses such as but not limited to cost of public transportation, auto insurance, gasoline, car repairs; to enable employment retention.

Other supportive services provided directly or contractually, that in the agency's opinion would divert or avert the need for ongoing assistance.

Any supportive service will be provided within guidelines established by State and Federal regulations and policies.

## 7.2 Post-Employment/Transitional Supportive Services

- a. Described below are the supports and strategies the district will provide to support job retention:

Childcare  
Transportation  
Medical assistance  
SNAP

- b. Described below are the support services (for up to 90 days after case closing) the district will provide to individuals whose TA cases have closed due to employment:

Non-recurring payments for employment related expenditures such as but not limited to uniform allowances, tools, and license fees.

Non-recurring payments for employment-related transportation expenses such as but not limited to cost of public transportation, auto insurance, gasoline, car repairs; to enable employment retention.

Other supportive services provided contractually, that in the agency's opinion would divert or avert the need for ongoing assistance.

Any supportive service will be provided within guidelines established by State and Federal regulations and policies.

In addition, if eligible, the individual could receive assistance with Childcare, Transportation, and other post-employment services as built into contractual obligations of outside providers.

Any other diversionary services within the scope of Social Service Law, Department Regulation, and local resources and policies.

## 7.3 Extended Support Services

- a. Described below are the support services the district will provide for individuals who are eligible under the TANF Services 200% of poverty eligibility guidelines. These services can be provided as long as funding is available (FFFS, etc.):

Non-recurring payments for employment related expenditures such as but not limited to uniform allowances, tools, and license fees.

Non-recurring payments for employment-related transportation expenses such as but not limited to cost of public transportation, auto insurance, gasoline, car repairs; to enable employment retention.

Other supportive services provided contractually, that in the agency's opinion would divert or avert the need for ongoing assistance.

Any supportive service will be provided within guidelines established by State and Federal regulations and policies.

In addition, if eligible, the individual could receive assistance with childcare, transportation, case management and other post-employment services as built in to contractual obligations of outside providers.

Any other diversionary services within the scope of Social Service Law, Department Regulation, and local resources and policies.



## 8. Conciliation, Sanction and Dispute Resolution Procedures

### 8.1 Conciliation

- a. The district's conciliation process for TA applicants and recipients must be conducted in accordance with 18 NYCRR 385.11(a). Indicate below how conciliations are conducted. (Select all that apply and describe the procedure below).

- In person
- By phone
- By mail

If there is an issue of non-compliance with any assigned mandated work activities such as work experience, job searching, assessments, employment plans or job loss the employment worker processes a conciliation notice and mails out to client.

If the local district determines that the individual's refusal or failure to comply was not willful and with good cause, the conciliation procedure shall terminate with no adverse action toward the individual.

If the individual does not contact the local district within the time frames, or if the local district determines from the conciliation that the individual's refusal or failure to comply was willful and without good cause, the local district may issue a ten-day notice of discontinuance. All available information is taken into account in determining willfulness and good cause even if the individual fails to respond to the conciliation notice. All final decisions on "good cause" are reviewed by the Employment Supervisor.

Applicants who are assigned to and fail or refuse to comply with an employability assessment or job search, or who fail to accept an offer of employment shall not be entitled to conciliation.

- b. Who makes the TA good cause/willfulness determination? (Select all that apply)

- The client's employment worker
- A supervisor in the district
- A separate entity (describe below):

- c. The district's conciliation process for SNAP applicants and recipients must be conducted in accordance with 18 NYCRR 385.11(d). Indicate below how conciliations are conducted. (Select all that apply and describe the procedure below)

- In person
- By phone
- By mail

All available information is taken into account in determining willfulness and good cause even if the individual fails to respond to the conciliation notice.

- d. Who makes the SNAP E&T good cause/willfulness determination? (Select all that apply)

- The client's employment worker
- A supervisor in the district
- A separate entity (describe below):

- e. Described below is the district's procedure for engaging SNAP recipients in a work activity to demonstrate compliance to avoid a SNAP E&T related sanction:

A letter is attached to the conciliation letter, allowing client to provide 5 job search contacts to demonstrate compliance and avoid a SNAP sanction.

## 8.2 Sanction

- a. Described below is the district's procedure for determining compliance for those TA recipients who wish to end their employment sanction (18 NYCRR 385.12, 385.13), including the time period established for demonstrating compliance to the satisfaction of the district:

The recipient is given informational orientation material.

The recipient signs a compliance statement that reviews the mandatory work requirements and is agreeing to cooperate/follow through with requirements.

TA benefits are restored retroactive to the date the individual indicated a willingness to comply but, not before the end of the durational sanction period.

The recipient then is assigned for work activities

Clients may also provide verification that they are exempt from work requirements.

- b. Describe below the district's procedure for determining compliance for those SNAP recipients who wish to end their employment sanction (18 NYCRR 385.12, 18 NYCRR 385.13), including the time period established for demonstrating compliance to the satisfaction of the district:

NTA-SNAP is not mandated in Cayuga County.

If a SNAP individual is otherwise mandated to participate and fails to comply willfully and without good cause a SNAP sanction may be imposed.

At the end of the sanction recipients must request to be added back to the case after the minimum duration has ended and comply with work requirements (work experience) as required by the district. those who document an exemption may have their SNAP sanction lifted before the end of the durational period.

If the client is not exempt he/she has the opportunity to sign compliance and engage in Work Experience.

## 8.3 Dispute Resolution

- a. The district's procedure for individuals who wish to dispute their work activity assignments, including individuals who dispute the district's response to their request for health-related accommodations must be conducted in accordance with 18 NYCRR 385.11(c). Indicate below who mediates the grievance.

An independent entity which has an agreement with the district

- Supervisory staff who are trained in mediation and who have no direct responsibility for the individual's case
- Designated supervisory staff who have no direct responsibility for the individual's case and who are not trained in mediation

## 9. Disability Determinations, Documentation and Requirements of Exempt Individuals

### 9.1 Disability Determination Process and Tools

- a. The district's process for determining an individual's disabilities and/or work limitations must be in accordance with 18 NYCRR 385.2(d). Indicate below what the district's process is for determining an individual's disabilities and/or work limitations. (Select all that apply, and describe the process)
- District participates in the OTDA managed contract for independent medical evaluations.
  - District contracts directly with a physician to provide independent medical evaluations.
  - District accepts physician's statement provided by participant.
  - District accepts physician's statement provided by participant but refers for an independent evaluation when deemed necessary
  - Other process

When an applicant or recipient declares a medical impairment, the local district issues a written notice to the individual. This notice states that per 18 NYCRR 385.2(d), the individual has ten calendar days to provide medical documentation from the treating health care provider. This notice also states that such documentation must include the following information:

1. A specific diagnosis
2. Any specific work limitations caused by this diagnosis
3. The expected duration of these work limitations/prognosis
4. The duration of the disability

This notice is accompanied by a medical form, which may be used by the health care practitioner to provide the above information. This notice states that the individual must cooperate with verifying a claim of medical impairment as a condition of eligibility as per Regulation.

The local district has sole discretion in determining if the documentation provided is sufficient to make a determination of medical impairment.

If an individual has demonstrated an inability to successfully participate in work activities or employment and the local district has reason to believe this is due to a disability or work limitation, the local district shall require the individual to participate in a disability related screening and/or evaluation. Additionally, if an individual has demonstrated an inability to successfully function in a work setting and is determined through an evaluation or assessment to need treatment, the individual shall be required to participate in necessary treatment as a condition of eligibility for public assistance.

If the individual has no health care practitioner, a list of names or other resources shall be provided, or they will be referred to OTDA contracted medical provider IMA. (Any such request shall have its cost borne by the local district.)

The local district assigns nonexempt work limited individuals to work experience when appropriate, through close and recurring verbal and written collaboration with work experience sites. Worksite supervisors are notified in writing of any limitations that an individual may have, to ensure they are accommodated.

The local district verbally contacts worksites if appropriate to review a participant's progress and take note of any problems that may be occurring.

Each individual meets with an assigned local district staff member regularly to review their site assignment for appropriateness. This local staff member is also available upon an individual's request for either telephone or face-to-face consultation and problem solving as needed.

- b. Indicated below is the process for reviewing the medical documentation to determine if the individual is exempt, nonexempt, or work limited and describe the process by which the determination is made. (Select all that apply)
- District directs the contracted physician or individual's physician to determine status.
  - District review team reviews and determines status (described below).
  - Specialized disability/medical staff or unit reviews and determines status (described below).
  - Other

Additional documentation may be required of the individual's health care practitioner to evaluate an individual's ongoing claim of a mental or physical impairment, if such individual has been found not to be exempt from work activities by the local district. Such individual remains non-exempt unless and until a different determination is made by the local district.

If, an individual has demonstrated an inability to successfully participate in work activities or employment and the local district has reason to believe this is due to a disability or work limitation, the local district shall require the individual to participate in a disability related screening and/or evaluation. Additionally, if an individual has demonstrated an inability to successfully function in a work setting and is determined through an evaluation or assessment to need treatment, the individual shall be required to participate in necessary treatment as a condition of eligibility for public assistance.

If the individual has no health care practitioner, or the agency requires further information to assess employability a list of names or other resource shall be provided or an appointment may be provided with OTDA contracted medical provider IMA. (Any such request shall have its cost borne by the local district.)

## 9.2 Mental Health Screening and Assessment

- a. In addition to screening for a disability as part of the application or disability determination process, does the district administer a screening tool for TA participants to help determine whether a referral for a mental health evaluation is warranted?  
 Yes  
 No
- b. Describe the district's policy for determining when a program participant is offered a mental health screen:
- c. What screening tools does the district use? (Select all that apply)  
 LDSS 5009 - Mental Health Screening Tool  
 The computer assisted version of the Modified Mini Screening tool (MMS)  
 Other Screening tool (describe below)
- d. If using the MMS, indicate below the district's cutoff score (7, 8 or 9) for referral to a mental health evaluation.
- e. Describe below the procedure the district uses if the screening tool warrants a mental health evaluation referral

## 9.3 Requirements for Exempt TA Participants (Reference 18 NYCRR 385.2 (e))

- a. An exempt individual who has the potential to be restored to self-sufficiency through rehabilitation may be required to accept medical care to assist them in recovering from a mental or physical impairment, accept referral to and enrollment in a program of vocational rehabilitation, training, and/or other essential rehabilitation, and provide requested evidence that the individual is participating in the assigned program.

Described below is the district's procedure for determining if an individual, who is unable to work due to mental or physical impairment, has the potential through treatment or other rehabilitative activities to improve the ability to work. This determination is different from the determination of the individual's disability exemption as covered in Section 9.1 of this Plan. Indicate who makes or assists in this determination that an individual can restore or improve employability through treatment or other rehabilitative activities (e.g., medical practitioner, employment worker, TA worker, local review team, etc.). Also indicate the source and type of information used to make the determination (e.g., information from individual's medical practitioner, district contracted provider, specialist evaluation obtained as result of district referral, etc.).

CCHHS designates a Senior Social Welfare Examiner to review all returned medicals, either from individual's health care practitioner or IMA.

- b. Described below is the district's procedure for developing a treatment plan and for referring the participant to appropriate treatment, etc.

If it is indicated that the participant is in need of treatment or recommends other rehabilitative activities to restore or improve employability; the participant is invited to review the medical and to discuss their responsibility to follow through with recommendation.

- c. Described below is the district's procedure for tracking the participant's compliance with their treatment plan, including who in the district is responsible for monitoring compliance. Include elements such as monthly confirmation of attendance at rehabilitation or other factors to judge participation and progress, along with how often the treatment plan is updated.

When the participant is contacted by Employment Senior Examiner, medical is reviewed to verify that recommendation is in writing. Employment Plan is also reviewed to be sure treatment is included on it. Participant signs and is given a copy of the process in writing to verify compliance with the medical recommendation. Participant is then given two blank monthly log sheets, to complete and return to district by the first Tuesday of the following month. These monthly log sheets are returned to Senior Audit Clerk, who reviews them, enters hours into WTCMS, and sends out next log sheet. (Participant will always have most current log prior to beginning of each month). Clerk will consult with Senior for any questions. Treatment plan is reviewed and updated (if needed) every time a new medical form is received.

## **10. District Certification**

### **10.1 Certification**

As a condition of the receipt of federal and State funds the Local District Commissioner of Cayuga County Department of Social Services submits this Temporary Assistance (TA) and Supplemental Nutrition Assistance Program (SNAP) Employment Plan (Plan) to the New York State Office of Temporary and Disability Assistance. The Plan outlines the administration of employment services for TA and SNAP applicants and recipients for the period January 1, 2022 through December 31, 2023. Submission of this Plan certifies that the district has read and accepts the terms of this certification and hereby affirms that employment services programs will be administered in accordance with all applicable federal and State policies, laws, regulations and provisions of this Plan.

3/29/2021  
Christine Bianco  
Commissioner

## Amendments

Date	Sections Amended
3/29/2022	2.2(a)(b) and 2.3(a)