



2021 CORPORATE FACT SHEET

We Connect Clients to Markets

StoneX provides institutional-grade global market access, end-to-end clearing and execution, high-touch service and deep expertise through one trusted partner.

Clients use our global financial services network and our digital platforms to pursue trading opportunities, make investments, manage market risk and improve performance. Our relentless focus on helping them accomplish these objectives has enabled us to establish leadership positions in a number of complex fields across the global markets ecosystem.

Consisting of all of the platforms, products and services available through StoneX Group Inc. (formerly INTL FCStone Inc.) and its regulated subsidiary companies, the StoneX network connects clients to the global commodities, securities, foreign exchange and global payments markets. StoneX clients include the producers, processors and end users of virtually every major traded commodity; traders and investors in nearly all securities and foreign currencies, whether institutional or retail; and governmental, non-governmental and charitable organizations worldwide.

Innovative and well-capitalized, StoneX companies put our clients' interests first by building deeply valued, long-term relationships that emphasize guidance, integrity, transparency and trust.

A Fortune 100 company with a nearly 100-year track record, StoneX Group Inc. serves more than 32,000 commercial and institutional clients, and more than 330,000 retail accounts, from nearly 70 offices across five continents.

WHO WE SERVE

COMMERCIAL CLIENTS

COMMODITY PRODUCERS • WHOLESALERS • MERCHANTS • GRAIN ELEVATORS
• MERCHANDISERS • IMPORTERS/EXPORTERS • CORPORATIONS • INTRODUCING
BROKERS • END USERS

We offer our commercial clients a comprehensive array of products and services, including risk management and hedging services, execution and clearing of exchange-traded and OTC products, voice brokerage, market intelligence and physical trading, as well as commodity financing and logistics services. We believe our ability to provide these high-value-added products and services differentiates us from our competitors and maximizes the opportunity to retain our clients.

We support this unique offering via highly efficient and increasingly robust technology platforms and a fully digitized global market intelligence offering.

INSTITUTIONAL CLIENTS

PROFESSIONAL TRADERS • FUNDS • INSTITUTIONAL MONEY MANAGERS •
COMMERCIAL BANK TRUST AND INVESTMENT DEPARTMENTS • BROKER-DEALERS •
INSURANCE COMPANIES • INTRODUCING BROKER DEALERS AND THEIR CLIENTS

We provide institutional clients with a complete securities offering that includes equities trading services designed to help clients find liquidity with best execution, consistent liquidity across a robust array of fixed income products, competitive and efficient clearing and execution in all major futures and securities exchanges

StoneX®

(FORMERLY INTL FCSTONE)

FINANCIAL STATISTICS

Common Stock & Financial Highlights for StoneX Group Inc. (as of 9/30/20):

- Nasdaq (SNEX)
- Operating revenues: \$1,308.3 million
- Net Income: \$169.6 million
- Total assets: \$13,474.9 million
- Stockholders' equity: \$767.5 million

StoneX By The Numbers:

- Nearly 70 offices across 5 continents
- Manages business in more than 180 countries
- More than 2,900 employees
- Average Daily Volume: \$11 billion
- 168 million derivatives contracts traded
- 2.1 million OTC derivatives contracts traded
- Deals in approximately 140 currencies

StoneX subsidiaries have access to the following exchanges:

- ASX
- B3
- CBOT
- CFE
- CME
- COMEX
- DGCX
- DMX
- EEX
- ERIS
- EUREX
- EURONEXT
- HKFE
- ICE EU
- ICE US
- IDEM
- LME
- MDEX
- MGEX
- TMX
- NASDAQ
- NOREXCO
- NYMEX
- NYSE ARCA
- NZX
- OMXS
- OSAKA
- ROFEX
- SGX
- SAFEX
- SMALL
- TOCOM

Markets Traded

Securities • Currencies • Grains/Oilseeds •
Dairy • Forest Products • Meats/Livestock •
Softs • Energy • Renewable Fuels • Metals •
Financial Futures • Frontier/EM

www.stonex.com

WHO WE SERVE CONTINUED

globally and access to frontier and emerging markets, as well as prime brokerage in equities and major foreign currency pairs and swap transactions. In addition, we originate, structure and place debt instruments in the international and domestic capital markets. These instruments include asset-backed securities (primarily in Argentina) and domestic municipal securities.

RETAIL CLIENTS

TRADERS • INVESTORS • COLLECTORS

We provide our retail clients around the world with access to more than 15,000 products, including spot foreign exchange, both financial trading and physical investment in precious metals, as well as contracts for difference (“CFDs”), which are investment products with returns linked to the performance of underlying assets. Our industry-leading platforms in this space include FOREX.com, City Index and coininvest.com. In addition, our independent wealth management business offers a comprehensive product suite to retail investors in the United States.

GLOBAL PAYMENTS

BANKS • COMMERCIAL BUSINESSES • CHARITIES • GOVERNMENTS • NON-GOVERNMENTAL ORGANIZATIONS

We offer competitive and transparent pricing in more than 140 currencies across 170+ countries, which we believe is more than any other payments solution provider. This includes a full-fledged domestic payments capability for both in-bound and out-bound payments in Brazil.

Additionally, as a member of the Society for Worldwide Interbank Financial Telecommunication (“SWIFT”), we are able to offer our services to large money centers and global banks seeking more competitive international payments services. As a SWIFT-accredited service bureau, we provide support to our 300+ global network of correspondent banks.

STONEX is the trade name used by STONEX GROUP INC. and all its associated entities and subsidiaries. StoneX Group Inc. provides financial services worldwide through its subsidiaries, including physical commodities, securities, exchange-traded and over-the-counter derivatives, risk management, global payments and foreign exchange products in accordance with applicable law in the jurisdictions where services are provided. References to certain over-the-counter (“OTC”) products or swaps are made on behalf of StoneX Markets LLC (“SXM”), a member of the National Futures Association (NFA) and provisionally registered with the U.S. Commodity Futures Trading Commission (“CFTC”) as a swap dealer. SXM’s products are designed only for individuals or firms who qualify under CFTC rules as an ‘Eligible Contract Participant’ (“ECP”) and who have been accepted as customers of SXM. StoneX Financial Inc. (“SFI”) is a member of FINRA/NFA/SIPC and registered with the MSRB. SFI is registered with the U.S. Securities and Exchange Commission (“SEC”) as a Broker-Dealer and with the CFTC as a Futures Commission Merchant and Commodity Trading Advisor. References to securities trading are made on behalf of the BD Division of SFI and are intended only for an audience of institutional clients as defined by FINRA Rule 4512(c). References to exchange-traded futures and options are made on behalf of the FCM Division of SFI. Wealth Management is offered through SA Stone Wealth Management Inc., member FINRA/SIPC, and SA Stone Investment Advisors Inc., an SEC-registered investment advisor, both wholly owned subsidiaries of StoneX Group Inc. StoneX Financial Ltd. (“SFL”) is registered in England and Wales, company no. 5616586. SFL is authorised and regulated by the Financial Conduct Authority (registration number FRN:446717) to provide the following to retail, professional and eligible customers including: arrangement, execution and, where applicable, clearing derivative transactions in exchange traded futures and options. SFL is also authorised to engage in the arrangement and execution of transactions in certain OTC products, certain securities trading, precious metals trading and payment services to eligible customers. SFL is authorised & regulated by the Financial Conduct Authority under the Payment Services Regulations 2017 for the provision of payment services. SFL is a category 1 ring-dealing member of the London Metal Exchange. In addition SFL also engages in other physically delivered commodities business and other general business activities which are unregulated and not required to be authorised by the Financial Conduct Authority. StoneX Financial Europe S.A. (“SFE”) is a securities trading firm registered in the Grand Duchy of Luxembourg, company no. RC Lux B 10821. SFE is authorised and regulated by the Commission de Surveillance du Secteur Financier (registration no. P00000012) to carry out, inter alia, the activities of an investment adviser, broker in financial instruments, commission agent and private portfolio manager. StoneX Financial Pte. Ltd. (“SFP”) (Co. Reg. No 201130598R) holds a Capital Markets Services Licence regulated by Monetary Authority of Singapore for Dealing in Exchange-Traded Derivatives Contracts, Over-the-Counter Derivatives Contracts, and Spot Foreign Exchange Contracts for the Purposes of Leveraged Foreign Exchange Trading. INTL FCStone Pty Ltd (ABN: 2006580694) holds an Australian Financial Service License and is regulated by the Australian Securities and Investments Commission (AFSL: 237755). StoneX Financial (HK) Limited (“SHK”) (CE No.: BCQ152) is regulated by the Hong Kong Securities and Futures Commission for Dealing in Futures Contracts. StoneX APAC Pte. Ltd. (“SAP”) (Co. Reg. No 200616676W) is regulated as a Dealer in Precious Stones and Precious Metals with the Ministry of Law Singapore (PS20190001002) and is also an “Approved International Trading Company” authorized to act as a “Spot Commodity Broker” under the Commodity Trading Act; and is also an appointed agent for SFL’s payments business. StoneX Group Inc. acts as agent for SFL in New York with respect to its payments services business.

Trading swaps and over-the-counter derivatives, exchange-traded derivatives and options and securities involves substantial risk and is not suitable for all investors. The information herein is not a recommendation to trade nor investment research or an offer to buy or sell any derivative or security. It does not take into account your particular investment objectives, financial situation or needs and does not create a binding obligation on any of the StoneX Group Inc. group of companies to enter into any transaction with you. You are advised to perform an independent investigation of any transaction to determine whether any transaction is suitable for you.

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StoneX[®]

(FORMERLY INTL FCSTONE)

Unique Capabilities

StoneX is focused on providing value-added financial solutions to approximately 32,000 commercial and institutional clients, and 330,000 retail accounts across the globe. We use our capital, expertise and knowledge to protect our customers’ bottom line margins, reduce costs and provide efficient, market-based solutions in complex markets worldwide.

Our capabilities include:

- Risk Management Advisory Services
- Futures Brokerage and Clearing
- OTC and Structured Products
- Physical Trading in Select Commodities
- LME Metals Trading
- Global Payments and Treasury Services
- Foreign Exchange Execution and Prime Brokerage
- Equity Market-Making
- Securities Custody and Clearing
- Fixed Income
- Wealth Management
- Asset Management
- Market Intelligence
- Retail Access to FX/CFD Markets

For Further Information

StoneX Group Inc. financial information and filings can be viewed at www.sec.gov or at www.stonex.com.

Wholly owned operating subsidiaries include: StoneX Financial Europe S.A.; FCC Futures, Inc.; FCStone do Brazil Ltda.; FCStone Group, Inc.; FCStone Merchant Services, LLC; FCStone Paraguay S.R.L.; Gainvest Asset Management Ltd.; StoneX APAC Pte. Ltd.; StoneX Financial Nigeria Limited; INTL Capital S.A.; StoneX Securities S.A.; INTL FCStone Banco de Cambio S.A.; INTL FCStone (BVI) Limited; StoneX Commodities DMCC; INTL FCStone de Mexico, S. de R.L. de C.V.; INTL FCStone DTVM Ltda.; StoneX Financial Inc.; INTL FCStone Financial (Canada) Inc.; StoneX Financial (HK) Limited; StoneX Financial Ltd; StoneX (Netherlands) B.V.; StoneX Financial Pte. Ltd.; INTL FCStone Pty Ltd; INTL FCStone S.A.; INTL FCStone (Shanghai) Trading Co., Ltd; StoneX Markets LLC; StoneX Asset Management S.A.; INTL Participacoes Ltda.; INTL Netherlands B.V.; INTL Pagos S.A.U.; StoneX Technology Services LLC; SA Stone Investment Advisors Inc.; SA Stone Wealth Management Inc.; Westown Commodities, LLC; GAIN Capital Holdings Inc.; GAIN Capital Group, LLC; GAIN GTX, LLC; GAIN Capital Australia Pty. Ltd.; GAIN Global Markets, Inc.; Coininvest GmbH; INTL Fillmore Advisors LLC; StoneX Financial GmbH; Exotix Partners LLP; StoneX Credit Trading Inc.; IFCM Commodities GmbH; EncoreFX, Ltd.; European Precious Metal Trading GmbH; StoneX Bullion Inc.